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PTO/SB/21 (04-07)

Approved for use through 09/30/2007. OMB 0651-0031

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages In This Submission

Application Number 10/552,184

Filing Date October 6, 2005

First Named Inventor Yasuyuki Kitayama

Art Unit 1626

Examiner Name Powers, Fiona

Attorney Docket Number 442P099

ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input checked="" type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	-Letter of Transmittal
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	-Copy of IDS filed on 12/19/05, together with additional copy of JP 60-63258
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	-Copy of return postcard showing receipt 12/21/05
<input type="checkbox"/> Reply to Missing Parts/Incomplete Application	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		
<div>Remarks</div>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Niels & Lemack		
Signature			
Printed name	Kevin S. Lemack		
Date	September 4, 2007	Reg. No.	32,579

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature			
Typed or printed name	Kevin S. Lemack	Date	September 4, 2007

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

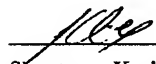
Applicant : Yuichi Sadamitsu et al.
Serial No. : 10/552,184
Filed : October 6, 2005
For : AZO COMPOUND AND SALT THEREOF
Examiner : Powers, Fiona
Art Unit : 1626
Attorney
Docket No. : 442P099

LETTER OF TRANSMITTAL

The Notice of Allowance received in the above application dated July 31, 2007 indicated that the Japanese Patent Application No. 60-63258 cited in the Information Disclosure Statement filed on December 19, 2005 and received on December 21, 2005 was not received. Enclosed herewith is a copy of the Information Disclosure Statement mailed on December 19, 2005, together with an **additional** copy of the above reference cited and a copy of the return postcard showing receipt of all 11 documents cited by the U.S. Patent Office.

Applicant respectfully asks that the Examiner consider the enclosed reference and re-initial the Form PTO-1449.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on September 4, 2007


Signature: Kevin S. Lemack
Date: September 4, 2007

Respectfully submitted,


Kevin S. Lemack
Attorney for Applicants
Registration No. 32,579
Niels & Lemack
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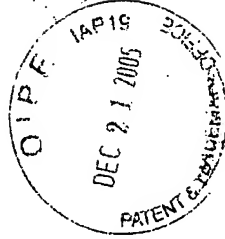
442P099

Date mailed: December 19, 2005

RECEIVED BY THE U.S. PATENT AND TRADEMARK OFFICE:

1. Transmittal Form (1-Page).
2. Information Disclosure Statement transmittal form (1-Page), Form PTO-1449 (1-Page) and copy of non US references cited - 11 and a copy of the Int. Search report dated 6/15/04.

Applicants : Yuichi Sadamitsu et al.
Serial No.: 10/552,184
Filed: October 6, 2005
For: Azo Compound and Salt Thereof





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**TRANSMITTAL
FORM**

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10/552,184

Filing Date

October 6, 2005

First Named Inventor

Yuichi Sadamitsu

Art Unit

Not yet assigned

Examiner Name

Not yet assigned

Attorney Docket Number

442P099

ENCLOSURES (Check all that apply)

Fee Transmittal Form



Fee Attached



Amendment/Reply



After Final



Affidavits/declaration(s)



Extension of Time Request



Express Abandonment Request



Information Disclosure Statement



Certified Copy of Priority Document(s)

Reply to Missing Parts/
Incomplete ApplicationReply to Missing Parts
under 37 CFR 1.52 or 1.53

Drawing(s)



Licensing-related Papers



Petition

Petition to Convert to a
Provisional Application

Power of Attorney, Revocation



Change of Correspondence Address



Terminal Disclaimer



Request for Refund



CD, Number of CD(s) _____

Landscape Table on CD

Remarks



After Allowance Communication to TC

Appeal Communication to Board
of Appeals and InterferencesAppeal Communication to TC
(Appeal Notice, Brief, Reply Brief)

Proprietary Information



Status Letter

Other Enclosure(s) (please identify
below):

-Form PTO-1449

-Copy of non US references cited - 11

-Copy of Int. Search Report dated 6/15/04

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

Niels & Lemack

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Kevin S. Lemack

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December 19, 2005

Reg. No.

32,579

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December 19, 2005

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